

Receipt date: 06/28/2007

10591886 - GA17226

C:\N:\Portb\lmanage\RSCHERER\725269\_1.DOC

NSP/CCD/rms

June 26, 2007

PATENT APPLICATION

DOCKET NO.: 0003.2001-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Norwin W. Wolff, Timothy L. Martin and Thomas L. Hiff

Application No.: 10/591,886

Group: 1711

(c) Date: April 26, 2007

Examiner: Not assigned

Confirmation No.: 6951

For: PERSONAL CARE FIXATIVE

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

6/26/07 Rhonda Scherer  
Date Signature

RHONDA SCHERER

Typed or printed name of person signing certificate

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:



under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).



under 37 CFR 1.97(c) together with either:



a Statement under 37 CFR 1.97(e), as checked below, or



a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)



under 37 CFR 1.97(d) together with:



a Statement under 37 CFR 1.97(e), as checked below, and



a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)



under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application file.

(Filed after payment of issue fee)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /FT/

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

*Applies to original applications (other than design) filed on or after May 29, 2000*

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☐ Copies of the cited references are enclosed.
- ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
- ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [OPTIONAL [The earlier application contains copies of the cited references.]]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
- ☐ the enclosed English language abstract.



Applicant requests that the following non-published pending applications be considered:  
(Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl'n.)

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date



A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.



A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:



A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.



Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.



Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Colin C. Durham

Registration No. 52,843

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 6/26/07

PTO-1449 REPRODUCED

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

June 26, 2007

Use several sheets if necessary)

ATTORNEY DOCKET NO.

0003.2001-003

APPLICATION NO.

10/591,886

FIRST NAMED INVENTOR

Norwin W. Wolff

371(c) FILING DATE

April 26, 2007

EXAMINER

CONFIRMATION NO.

6951

GROUP

1711

**U.S. PATENT DOCUMENTS**

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A1	3,145,147	08-18-1964	Glickman, <i>et al.</i>
	A2	4,177,178	12-04-1979	Das, <i>et al.</i>
	A3	4,223,009	09-16-1980	Chakrabarti
	A4	4,521,404	06-04-1985	Lorenz, <i>et al.</i>
	A5	4,501,834	02-26-1985	Su
	A6	4,796,646	01-10-1989	Grollier, <i>et al.</i>
	A7	5,009,880	04-23-1991	Grollier, <i>et al.</i>
	A8	5,068,099	11-26-1991	Sramek
	A9	5,126,124	06-30-1992	Tazi, <i>et al.</i>
	A10	5,441,728	8-15-1995	Tsaur, <i>et al.</i>
	A11	5,501,851	03-26-1996	Mudge, <i>et al.</i>
	A12	5,686,062	11-11-1997	Tong
	A13	5,723,113	03-03-1998	Faryniarz, <i>et al.</i>
	A14	5,948,396	09-07-1999	Das, <i>et al.</i>
	A15	5,980,878	11-09-1999	Torgerson, <i>et al.</i>
	A16	5,998,500	12-07-1999	Cahill, <i>et al.</i>
	A17	6,482,394	11-19-2002	Schehlmann, <i>et al.</i>
	A18	2003/0171246	09-11-2003	Dieter, <i>et al.</i>

EXAMINER

/Frances Tischler/

DATE CONSIDERED

12/02/2008

**ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /FT/**